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| U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE FORM PTO-1390 (Modified) (REV 10-95) | | ATTORNEY'S DOCKET NUMBER 4 |
| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 | | U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 09/646978 |
| INTERNATIONAL APPLICATION NO. PCT/JP00/00402 | INTERNATIONAL FILING DATE January 27, 2000 | PRIORITY DATE CLAIMED January 28, 1999 |
| TITLE OF INVENTION LIGHT-EMITTING MATERIAL AND PRODUCING METHOD THEREOF | | |
| APPLICANT(S) FOR DO/EO/US 1) Qinglong HAO, 2) Pengcheng LI, 3) Qian XIU, 4) Atsushi OGURA and 5) Jingfeng GAO | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | |
| <ol style="list-style-type: none"> <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c) (2)) <ol style="list-style-type: none"> <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). <input checked="" type="checkbox"/> has been transmitted by the International Bureau. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)). <input type="checkbox"/> A copy of the International Search Report (PCT/ISA/210). <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) <ol style="list-style-type: none"> <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). <input type="checkbox"/> have been transmitted by the International Bureau. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. <input type="checkbox"/> have not been made and will not be made. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). <input type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409). <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). | | |
| Items 13 to 18 below concern document(s) or information included: <ol style="list-style-type: none"> <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. <input checked="" type="checkbox"/> A FIRST preliminary amendment. A SECOND or SUBSEQUENT preliminary amendment. <input type="checkbox"/> A substitute specification. <input type="checkbox"/> A change of power of attorney and/or address letter. <input checked="" type="checkbox"/> Certificate of Mailing by Express Mail <input checked="" type="checkbox"/> Other items or information: | | |
| THE FEE CACULATION MUST BE BASED ON THE CLAIMS AS AMENDED AND ADDED IN THE ATTACHED PRELIMINARY AMENDMENT. | | |

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| U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 09/646970 | INTERNATIONAL APPLICATION NO. PCT/JP00/00402 | ATTORNEY'S DOCKET NUMBER 4296 |
| 20. The following fees are submitted.. | | CALCULATIONS PTO USE ONLY |
| BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) : | | |
| <input checked="" type="checkbox"/> Search Report has been prepared by the EPO or JPO \$840.00 <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) \$670.00 <input type="checkbox"/> No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) \$760.00 <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$970.00 <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) \$96.00 | | |
| ENTER APPROPRIATE BASIC FEE AMOUNT = | | 840 .- |
| Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (e)). | | <input type="checkbox"/> 20 <input type="checkbox"/> 30 |
| CLAIMS | NUMBER FILED | NUMBER EXTRA |
| Total claims | 9 - 20 = | 0 x \$18.00 |
| Independent claims | 1 - 3 = | 0 x \$78.00 |
| Multiple Dependent Claims (check if applicable). | | <input type="checkbox"/> |
| TOTAL OF ABOVE CALCULATIONS = | | 840 .- |
| Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable). | | <input type="checkbox"/> |
| SUBTOTAL = | | |
| Processing fee of \$130.00 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492 (f)). | | <input type="checkbox"/> 20 <input type="checkbox"/> 30 + |
| TOTAL NATIONAL FEE = | | 840 .- |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). | | <input checked="" type="checkbox"/> 40 .- |
| TOTAL FEES ENCLOSED = | | 880 .- |
| | | Amount to be: refunded \$ charged \$ |
| <input type="checkbox"/> A check in the amount of _____ to cover the above fees is enclosed. <input checked="" type="checkbox"/> Please charge my Deposit Account No. 01-1944 in the amount of \$880.00 to cover the above fees. A duplicate copy of this sheet is enclosed. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. 01-1944 A duplicate copy of this sheet is enclosed. | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. | | |
| SEND ALL CORRESPONDENCE TO: | | |
| Anderson, Kill & Olick P.C. 1251 Avenue of the Americas New York, NY 10020-1182 (212) 278-1000 | | |
|  SIGNATURE <u>Eugene Lieberstein</u> NAME 24,645 REGISTRATION NUMBER <u>September 25, 2000</u> DATE | | |

428 Recd PCT/PTO 25 SEP 2000
09/646978

EXPRESS MAIL CERTIFICATE OF MAILING - SEPARATE PAPER

IN THE MATTER OF: HAO et al

ATTORNEY'S DOCKET NO.: 4296

FOR: LIGHT-EMITTING MATERIAL AND PRODUCING METHOD THEREOF

I hereby certify that the new PCT/DO application:

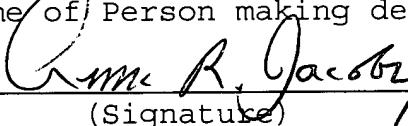
transmittal letter
 specification and claims
 declaration
 assignment with Recordation Form Cover Sheet
 sheets drawings
 priority document
 Preliminary Amendment

is being deposited with the United States Postal Services "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to the Commissioner of Patents and Trademarks, BOX PCT, Washington, DC 20231

on September 25, 2000.

EXPRESS MAIL LABEL NO.

EL641005539US

Anne R. Jacoby
(Name of) Person making deposit)

(Signature)

September 25, 2000
(Date)

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